

Chichester District Council

CORPORATE GOVERNANCE & AUDIT COMMITTEE

30 June 2016

Audit Reports & Progress Report – Audit Plan

1. Contacts

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2. Recommendation

The committee is requested to consider the Audit Reports and note progress against the audit plan.

3. Main Report

3.1. Key Financial Systems 2015 - 2016

Internal Audit reviews the controls operating within the key financial systems on an annual basis. Any weaknesses are then reported separately to the relevant service. For the year 2015-2016, Internal Audit has produced an annual report for the year end to be reported to Committee.

There were 10 recommendations made in the report together with service specific ones, these can be found together with responses and deadlines in the Table of Findings in appendix 1.

3.2. Personnel and Recruitment

Internal Audit reviewed the arrangements in place for the adequate approval and funding of posts, pre-employment verification checks, satisfactory monitoring arrangements and current procedure notes available to staff. Internal Audit found that the Human Resources section provide a good standard of service and support to its staff. However, there were some procedural issues where improvements can be made. Internal Audit has made seven recommendations which have been agreed by management.

3.3. Fraud Report

Internal Audit reviewed the arrangements in place for the prevention and detection of fraud within the Council, and that there are adequate resources to carry out investigations and to identify potential risk of potential fraud. Overall Internal Audit found that the Council continues to operate a robust framework of policies and procedures.

No recommendations have been made as a result of this review a copy of which has been as sent to Members for information.

4. Background

4.1. Not Applicable

5. Outcomes to be achieved

5.1. Not Applicable

6. Proposal

6.1. Not Applicable

7. Alternatives that have been considered

7.1. Not Applicable

8. Resource and legal implications

8.1. Not Applicable

9. Consultation

9.1. Not Applicable

10. Community impact and corporate risks

10.1. Not Applicable

11. Other Implications

Are there any implications for the following?		
	Yes	No
Crime & Disorder:		√
Climate Change:		√
Human Rights and Equality Impact:		√
Safeguarding:		√
Other (Please specify):		√

12. Appendices

- 12.1. Progress Report – Audit Plan
- 12.2. Key Financial Systems Audit Report
- 12.3. Personnel & Recruitment Audit Report

13. Background Papers

- 13.1. Fraud Prevention Report 2015-2016